



PATENT
Atty Docket No.: 200209304-1

In The U.S. Patent and Trademark Office

In Re the Application of:

Inventor(s): Zhichen Xu et al. **Confirmation No.:** TBD
Serial No.: 10/666,620 **Examiner:** TBD
Filed: September 19, 2003 **Group Art Unit:** TBD
Title: PROVIDING A NOTIFICATION INCLUDING LOCATION INFORMATION
FOR NODES IN AN OVERLAY NETWORK

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF HAND-DELIVERY WITH THE USPTO

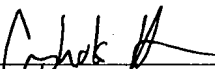
I hereby certify that this correspondence is being deposited with the United States Patent and Trademark Office on January 29, 2004. This correspondence contains the following document(s):

Information Disclosure Statement and Form PTO-1449 (8 sheets).
21 References.

Respectfully submitted,

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On January 29, 2004



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PATENT APPLICATION

ATTORNEY DOCKET NO. 200209304-1

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Zhichen Xu et al.

Confirmation No.: TBD

Application No.: 10/666,620

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Title: PROVIDING A NOTIFICATION INCLUDING LOCATION INFORMATION FOR NODES IN AN OVERLAY NETWORK

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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

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Zhichen Xu et al.

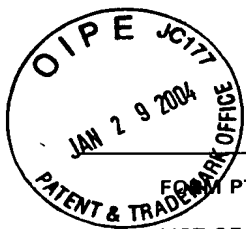
By Ashok K. Mannava

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**PATENT APPLICATION**

Sheet 1 of 1

FORM PTO-1449

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

200209304-1

APPLICATION NO.

10/666,620

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GROUP

REFERENCE DESIGNATION**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
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FOREIGN PATENT DOCUMENTS

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	1M					
	1N					
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	ASANO, T. et al., "Space Filling Curves and Their Use in the Design of Geometric Data Structures", Theoretical Computer Science, 181:(1), pg.3-15.
	1R	BOLOSKEY, W. et al., "Feasibility of a Serverless Distributed File System Deployed on an Existing Set of Desktop PCs" June 2000.
	1S	CASTRO, M. et al., "Exploiting Network Proximity in Peer-to-Peer Overlay Networks", June 2002.

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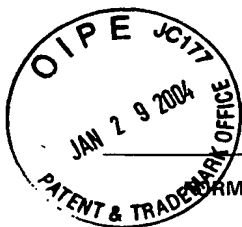
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1Q	DABEK, F. et al., "Wide-area Cooperative Storage with CFS", October 2001.
1R	NG, T.S., et al., "Towards Global Network Positioning", ACM SIGCOMM Internet Measurement Workshop 2001.
1S	FRANCIS, P. et al., "An Architecture for a Global Internet Host Distance Estimation Service", IEEE INFOCOM 1999, pg 210-217.

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1R	KUBIATOWICZ, J. et al., "Oceanstore: An Architecture for Global-scale Persistent Storage", Proceedings of ASPLOS 2000.
1S	LI, J. et al., "A Scalable Location Service for Geographic Ad-hoc Routing", Proceedings of ACM Conf. on Mobile Computing and Networking (MOBICOM), 2000.

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	1Q	MEDINA, A. et al., "BRITE: Universal Topology Generation from a User's Perspective", Technical Report BUCS-TR-2001.
	1R	RATNASAMY, S. et al., "A Scalable Content-Addressable Network", SIGCOMM August 2001.
	1S	RATNASAMY, S. et al., "Topologically-Aware Overlay Construction and Server Selection", IEEE Infocom 2002.

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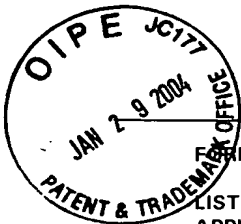
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	1R	SAVAGE, S. et al., "The End-to-End Effects of Internet Path Selection", ACM SIGCOMM August 1999.
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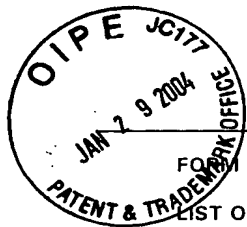
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